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Research Article

Application of Sigma Metrics for Evaluating Analytical Performance of HbA1C Testing on D10- HbA1C Analyzer

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Abstract

In this study, analyzed HbA1C over a period of 6 months. Six Sigma improves the quality of process outputs by analyzing and eliminating the source of defects and reducing variability in manufacturing and business practices. In terms of clinical laboratory, the identification of test with low Sigma values ($<3\sigma$) indicate that actions should be taken to improve analytic quality or the laboratory should use alternate methods and reagents. Our study showed methodologies for HbA1C is of world class performance achieving Sigma value >6, to maintain and improve this frequency of QC should be run as rule as per the westguard QC rule. Sigma metrics helps to assess analytical methodologies and augment laboratory performance. It acts as a guide for planning quality control strategy. It can be a self-assessment tool regarding the functioning of clinical laboratory. Using Six Sigma techniques, able to identify problem areas as well as recurring issues that affect the overall quality expectation of laboratory result.

Keywords: HbA1C, Six Sigma, Six Sigma values

INTRODUCTION:

Six Sigma is characterized as latest management fad to repackage old quality management principle, technique and tools¹. Sigma is the mathematical term(symbol) for the standard deviation (SD) Six sigma gives a more quantitative frame work for evaluating process performance with evidence of process improvement and describes how many Sigma fit within the tolerance limits2. Sigma methodology can be applied where an outcome of a process can be measured. A bad outcome is count as defect or error, where it is quantified as DPM (defect per million)3. The analytical quality of laboratories is evaluated by analysis of data such as internal quality control which check the precision of the method, external quality control which check the accuracy of the analytical method, patents result. they are graphically represented by statistical charts 4 Six Sigma methodology composed of five steps define, measure, analysis, improve, control (DMAIC). These steps are universal and can be applied in business, industry and medicine. The performance of analytical process evaluated according to the Six Sigma methodology in clinical laboratory and is expressed in single

number which is defined as "process Sigma level". High Sigma level that means the analytical errors are low and the test result can be acceptable. Low Sigma level is accepted as error⁵. To make improvements and increase quality in management of reagents Six Sigma methodology can be used. Six Sigma method can improve the effectiveness and efficiency of laboratory reagents management. The lean management tools focus on the speed and efficacy of a process, where as those of Six Sigma focus on its precision and accuracy. Lean Six Sigma is dedicated to increase quality, reduce variability and remove any waste from the healthcare Centre ⁶, methods help to reduce variation/ errors in Laboratory processes, thus reducing cost of laboratory services, increases customer's satisfaction and increase quality of laboratory results.⁷

Glycated hemoglobin:

Glycated or glycosylated hemoglobin refers to the glucose derived products of normal adult hemoglobin (HbA). Glycation is a post-translational, non-enzymatic addition of sugar residue to amino acids of proteins. Among the glycated hemoglobin, the most abundant form is HbA1c. Diabetes affects about 2-3% of the population and is a major cause of

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blindness, renal failure, heart attack and stroke.

The hormone insulin has been implicated in the development of diabetes. Diabetic ketoacidosis is frequently encountered in severe uncontrolled diabetics. The management includes administration of insulin, fluids and potassium. The hypoglycemic drugs commonly used in diabetic patients include tolbutamide, Glibenclamide and acetohexamide. Measurement of glycated hemoglobin (HbA1c) serves as a marker for diabetic control. HbA1c is produced by the condensation of glucose with N-terminal valine of each Echain of HbA. Diagnostic importance of HbA1c: The rate of synthesis of HbA1c is directly related to the exposure of RBC to glucose. Thus, the concentration of HbA1c serves as an indication of the blood glucose concentration over a period, approximating to the half-life of RBC (hemoglobin) i.e. 6-8 weeks. A close correlation between blood glucose and HbA1c concentrations has been observed when simultaneously several months. monitored for Normally, concentration is about 3-5% of the total hemoglobin. In diabetic patients, HbA1c is elevated (to as high as 15%). Determination of HbA1c is used for monitoring of diabetes control. HbA1c reflects the mean blood glucose level over 2 months period prior to its measurement. In the routine clinical practice, if the HbA1c concentration is less than 7%, the diabetic patient is considered to be in good control. Estimated average glucose(eAG): eAG is a new term (introduced by American Diabetic Association) used in diabetic management. It is a laboratory tool to understand the approximate relationship between HbA1c and glucose concentrations 8. Hemoglobin is a protein that present in the red blood cell and help to carry the oxygen. After the synthesis of hemoglobin is formed by post translations and hemoglobin A1c(HbA1c) most frequently seen 9,10. The HbA1c test is a biomarker to evaluate the long-term outcome of diabetes and therefore it plays important role in management of diabetes. There are more than 70 methods are available for the analysis of around the world¹¹· Hemoglobin A1c (HbA1c) measurements give the information about the level of glucose in the last 3 months 12,13 · HbA1c is a most frequent test in the laboratory, the principle is based on reverse-phase cation exchange "high performance liquid chromatography" 4 The methods of detection used in clinical laboratories such as, HPLC (high performance liquid chromatography) systems electrophoresis, are mainly based on different electric charges or glycosylation molecules present on the HbA1c ^{13,14}· HbA1c is a common method for long-term glycemia monitoring and efficacy of drugs evaluation. According to current American Diabetes Association (ADA) recommended that HbA1c>6.5 %was important criteria for the diagnosis of diabetes. Lowering the HbA1c value, 7% could reduce the risk of microvascular complication in diabetes, hence evaluation of HbA1c level is important role in diagnosis and treatment of diabetes 15 High quality of the HbA1c test can be achieved by HPLC systems and monitoring their performance by QC programs with appropriate materials that will increase the confidence of operators in their performance $^{16,17}\cdot$

MATERIALS AND METHODS

Study design: Retrospective study

This study was conducted in the clinical biochemistry laboratory of Padmashree diagnostic center Vijayanagara, Bangalore. This is a NABL accredited diagnostic center, which provide all kind of laboratory services (hematology, biochemistry, microbiology, histopathology, serology, clinical pathology, etc) they offer services other than lab includes

radiology and imagine service, neurology, cardiology, ophthalmology, etc. Aim of our study was to analyze Sigma metrics of Glycated hemoglobin (HbA1C) so as to assess the functioning of D-10 HbA1C analyzer that works on the principle of reverse phase cation exchange (high performance liquid chromatography). Internal quality control data of HbA1C were analyzed retrospectively over a period of 6 months in 2020 with D-10 HbA1C analyzer that measure glycated hemoglobin based on the principle of reverse phase cation exchange "High Performance Liquid Chromatography" - HPLC.

Total Allowable Error: TEa is a model that combines both imprecision and bias (Trueness) of a method to calculate the impact on a test result. Analytical Quality Requirements are defined by Clinical Laboratory Improvement Amendment (CLIA) -88 Proficiency Testing Criteria in terms of total allowable error "TEa" (or more correctly "total allowable variation") for acceptable performance for each analyte. The most recent and extensive listing of biologic goals has been provided by Ricos et al., which is taken as reference value. These values are in accordance with CLIA guidelines. (30,31)

Bias: The difference between the average value and the true value is the bias, which is expressed numerically and so is inversely related to the trueness. Bias was taken from % of Deviation of the peer group data from results returned from RIQAS;

Bias (%) = (mean of all laboratories using same instrument and method -our mean) /mean of all laboratories using same instrument and method) X 100

Coefficient of Variance: The degree of precision is usually expressed on the basis of statistical measures of imprecision, CV%. CV was determined from the calculated laboratory mean and calculated standard deviation procured from the internal QC data over the 3 months:

CV (%) = (Standard deviation / Laboratory mean) X 100

Sigma metric calculation

Sigma (s) value was used in order to determine the analytical performance characteristics of Sigma value tests by using CV (obtained from IQC data), Bias% and TEa values. Sigma value calculated using the standard equation:

Sigma metric (s) = (%TEa - %Bias) / CV%

RESULT AND DISCUSSION

Sigma values were used to determine the analytical performance characteristics of the test. A Sigma level <3 is an indication of a poor performance procedure, whilst a good performance is indicated by a Sigma level >3. Above Six Sigma level is a world class performance. (32) The present study was carried out to evaluate the analytical performance of d-10 HbA1C analyzer. The six-month data for CV%, from internal quality program) and Bias%, from external quality control program were collected from Padmashree Diagnostics, clinical biochemistry department Vijayanagar.

Use of Sigma metrics for the evaluation of analyte performance

To understand the performance of HbA1C on D-10 HbA1C analyzer in Padmashree diagnostic Vijayanagara, Bangalore, the Sigma metrics of analyte as the QC materials levels 1 and level 2 were calculated and are summarized in table no.1.

According to Sigma metrics, the performance of the analytes is divided in to six different grades. They are.

Table 1: Sigma metrics of analyte

Sigma ≥ 6	world class
5 ≤ Sigma< 6	excellent
4 ≤ Sigma<5	good
3≤Sigma < 4	marginal
2≤Sigma < 3	poor
Sigma ≤ 2	unacceptable

Table 2: Bias, Tea%, CV% and Sigma value for quality control 1 and 2:

Month	Parameter	TEa%	Bias %	Level 1		Level 2	
				CV%	Sigma	CV%	Sigma
April	HbA1c	6	0.15	0.94	6.22	0.90	6.50
May	HbA1c	6	0.1	1.33	4.44	0.60	9.83
June	HbA1c	6	0.1	0.94	6.27	0.70	8.43
July	HbA1c	6	0.03	1.53	3.90	1.00	5.97
August	HbA1c	6	0.8	1.53	3.34	0.63	8.25
September	HbA1c	6	0.2	1.53	3.79	0.63	9.20

The Process Sigma level were determined according to IQC1 QC2 and EQC results by month as April (6.22-6.50-0.15), May (4.44-9.83-0.1), June (6.27-8.43-0.1), July (3.90-5.97-0.03), August (3.34-8.25-0.8), September (3.79-9.20-0.2) respectively. The Sigma values from month of July to

September for level-1 QC is showing gradual decreasing compared to previous months so here, root cause analysis for this declining Sigma value has to be done and necessary corrective action for this issue should be taken to maintain the accurate and précised HbA1C report.

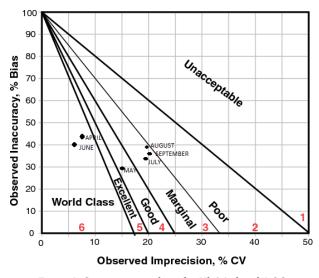


Figure 1: Sigma matric chart for HbA1c level 1 QC

100 90 80 Unacceptable Observed Inaccuracy, % Bias 70 60 50 40 30 Watdinal 20 SEPTEMBER World Class 10 0 10 0 Observed Imprecision, % CV

Figure 2: Sigma matric chart for HbA1c level 2 QC

In this study, analyzed HbA1C over a period of 6 months. Six Sigma improves the quality of process outputs by analyzing and eliminating the source of defects and reducing variability in manufacturing and business practices. In terms of clinical laboratory, the identification of test with low Sigma values (< 3σ) indicate that actions should be taken to improve analytic quality or the laboratory should use alternate methods and reagents.

To calculate Sigma, have calculated mean, standard deviation (SD), coefficient of variation (CV) and bias. SD quantifies the closeness of numerical values in relation to each other. Since SD increases as the concentration of analyte increases, CV can

be regarded as statistical analyzer. Since CV is the ratio of two, it cancels that effect. CV is therefore standardization of the SD that allows comparison of variability estimates regardless of analytes concentration.CV is dimensionless and does not vary with changes in measurement units have obtained lower CV for HbA1C, as CV is correlated to precision. Lesser the CV, better is the precision. This suggests that precision is high for HbA1C. Bias is the difference between the measured value and actual value. It is used to describe the inaccuracy of the method. Lower the bias more is the accuracy. In this study obtained low bias values. This suggests that the methods for measurement of HbA1C is accurate. The Six Sigma scale ranges

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from zero to six, but a process can actually exceed Six Sigma, if variability is sufficiently low as to decrease the defect rate. In laboratory 3 Sigma is considered to be the minimal acceptable performance for a process. When performance falls below 3 Sigma, the process is considered to be essentially unstable and unacceptable. i have got Sigma values >Six Sigma. It implies that procedures for HbA1C is of world class standard. The Six Sigma idea asserts an association between the numbers of product defects, wasted operating costs and levels of customer satisfaction. It can be inferred that as Sigma increases, the consistency and steadiness of the test improves, thereby reducing the operating costs. As Sigma increases, the consistency, reliability, steadiness and overall performance of the test improves, thereby decreasing the operating costs.³⁴ Consider testing specimens in duplicate. Total quality management works on plan, do, check and act rules whereas Sigma metrics works on define, measure, analyze, improve, control. When process performance is validated against Westgard rules or any other quality criteria for acceptability of control data, probability for rejection and probability of error detection are of paramount importance.35 In analytical process; test methods, analyzers, internal and external quality control, calibration rise to prominence and in this process the control of the variables is possible¹⁷ in order to prove the performances, Six Sigma methodology is an effective tool ³⁶ To provide a holistic perspective, pre-analytical and postanalytical processes must be evaluated with the analytical process. HbA1 c is a globally accepted analyte in its utility for monitoring the complications of diabetes 37 The HbAc1 unit established by international scientific circles is mmol/mol but there is a continuing discussion on units. In the USA percentage (%), HbA1 c (recommended by NGSP) unit is accepted, however IFCC accepts both units, but IFCC recommends using mmol HbA1c/mol ^{38,39}. In our laboratory, % HbA1 c unit which is recommended by NGSP is used and this unit was also used during the evaluation with Six Sigma methodology ⁴. To achieve Six Sigma is considered as the gold standard for defining world class measure of quality. In clinical laboratory, Six Sigma methodology give attention on regulating a process within 6 standard deviations which represents 3.4 defects per million opportunities 40 Process performance at the 3-Sigma level is considered as the minimum acceptable level of quality. The Sigma metrics represent the correlation among numbers of product defects, wasted operating costs and customer satisfaction. Therefore, as Sigma increases, the consistency, reliability, steadiness and overall performance of the test improves, thereby decreasing the operating costs 41.

Table 3: Sigma metric tools for QC design and frequency.

Sigma metric	Control rule	QC frequency
Six sigma	1 _{3s} , n=2	1 per 1000 patient samples
Five sigma	$1_{3s}/2 2s/ r 4s, n=2$	1 per 450 patient samples
Four sigma	13s/2 2s/ r 4s/4 1s, n=4	1 per 200 sample samples
Three sigma	all "Westgard Rules" n=6	1 per 45 patient samples
Two sigma and below	max "Westgard Rules" n=6	1 per 10 patients samples

When the method quality goals are set at Six Sigma, stringent internal QC rules are mandatory. However, false rejections rate should also be kept in mind which can be minimized by relaxing control limits up to 3 SD. On other hand, if method is performing at Sigma level below 3, it will require to implement a newer and better method because quality of the test cannot be assured even after multiple QC cycles. 42 Application of Six Sigma in clinical laboratory involves calculating the performance of the test method using standard QC procedures and also specifying the quality requirements for the test in term of total allowable error (TEa). It also requires continuous scrutiny of the data, computing a Six Sigma value (Sigma (σ) = [TEa - bias)/CV]), improvisation of process based on the data analysis and long term follow up ⁴³ Analytical reproducibility in Hba1c measurement is important for monitoring diabetic patients. Changes in results between two HbA1c test results should reflect responses to treatment, and an optimal imprecision goal for HbA1c of 2.1% has been proposed 44,45 This criterion is very strict, however, and difficult to meet, with an imprecision of 3% CV being a more

realistic target ⁴⁶. On the month from April to June Six Sigma was fine and afterwards till September Sigma value has come down. Root cause analyst suspected that t may be due to contamination and decontamination has corrected the issue.

CONCLUSION:

HbA1C is an important indicator of long term glycemic control with the ability to reflect the cumulative glycemic history of the preceding 2-3 months. It is not only providing a reliable measure of chronic hyperglycemia but also correlates well with the risk of long- term diabetes complications, thus accurate and précised report for testing is mandatory. Six Sigma technique are able to identify problem areas as well as recurring issues that affect the overall quality of laboratory results hence, implementation Sigma methodology is important to maintain and improve quality result. Our study showed methodologies for HbA1C is of world class performance achieving Sigma value >6 ,to maintain and improve this frequency of QC should be run as rule as per the westguard QC rule. Sigma metrics helps to assess analytical methodologies and augment laboratory performance. It acts as

a guide for planning quality control strategy. It can be a self-assessment tool regarding the functioning of clinical laboratory. Using Six Sigma techniques, able to identify problem areas as well as recurring issues that affect the overall quality expectation of laboratory result.

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